8-24-04

Page 1 of 2

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/829,100

04/21/2004

Masaru Mori

9319S-000748

27572 HARNESS, DICKEY & PIERCE, P.L.C. P.O. BOX 828 BLOOMFIELD HILLS, MI 48303

INITED ST

CONFIRMATION NO. 6000
FORMALITIES LETTER

OC000000013088796

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$86 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$986 for a Large Entity

• \$770 Statutory basic filing fee.

08/26/2004 AWONDAF1 00000028 503213

• \$130 Late oath or declaration Surcharge.

01 FC:1001 02 FC:1051 770.00 DA 130.00 DA

03 FC:1201

86.00 DA

- Total additional claim fee(s) for this application is \$86
 - \$86 for 1 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer-Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (04-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Application Number	10/829,1	10/829,100			
			Filing Date	April 21,	April 21, 2004			
			First Named Inventor	Masaru N	Masaru Mori			
			Art Unit	2816	2816			
			Examiner Name	To be as	signed			
Total Number of Pages in T	his Submission		Attorney Docket Numb	per 9319S-00	00748			
ENCLOSURES (check all that apply)								
Fee Transmittal Form		g(s)		After Allowance Communication to Technology Center (TC)				
☐ Licens		Licensir	ng-related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply		Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		Petition to Convert to a Provisional Application		☐ Propri	Proprietary Information			
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		ess Status	Status Letter			
Extension of Time Request		Terminal Disclaimer			Other Enclosure(s) (please identify below):			
Express Abandonment Request		Request for Refund CD, Number of CD(s)		Re co	quest for Corrected Filing ceipt; copy of Filing Receipt; py of Notice to File Missing rts; executed Declaration/			
☐ Information Disclosure Statement					wer of Attorney; and postcard			
Certified Copy of Priority Document(s)		Remar	rks					
Response to Missing F Incomplete Application								
Response to Missi Parts under 37 CF 1.52 or 1.53								
	SIGNATI	URE OF A	APPLICANT, ATTORNE	Y, OR AGENT				
Firm or Individual name Harness, Dickey & Pierce, P.L.C			Attorney Name G. Gregory Schivley		eg. No. 7,382			
Signature J. Mysan Whowler								
Date August 23, 2004								
CERTIFICATE OF PRANSMISSION/MAILING								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Typed or printed name	G. Gregory Sck	ivley	M /	Express Mail Label No. EV 533 145 531U				
Signature	/./	Lyse	1/01111	Date	August 23, 2004			
This collection of information is re	equired by 37 CFA 1.5	5. The informa	ation is required to obtain or retain	n a benefit by the publ	ic which is to file (and by the USPTO to			

In some content of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.B. 123 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form anotor suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

cc: Hisa Tuttle

408-954-9058

B) 9	986

Complete if Known		
Application Number	10/829,100	
Filing Date	April 21, 2004	
First Named Inventor	Masaru Mori	
Examiner Name	To be assigned	
Art Unit	2816	
Attorney Docket No.	9319S-000748	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None		3. ADDITIONAL FEES				
Order Deposit Account:	Large	Entity	_Small	<u>Entity</u>		
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account 50-3213	1051	130	2051	65	Surcharge - late filing fee or oath	130
Number	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit	1053	130	1053	130	Non-English specification	
Account Epson R&D	1812	2,520	1812	2,520	For filing a request for reexamination	
Name The Director is authorized to: (check all that apply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application	1805	1,840*	1805	1,840		
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Description	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$) Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee 770	1401	330	2401	165	Notice of Appeal	
1002 340 2002 170 Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral hearing	
1004 770 2004 385 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filling fee		110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 770	1453	1,330	2453	665	Petition to revive - unintentional	
	1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1502	480	2502	240	Design issue fee	
Extra Fee from Fee Claims below Paid	1503	640	2503	320	Plant issue fee	
Total Claims 8 -20 ** = 0 X 18 = 0	1460	130	1460	130	Petitions to the Commissioner	
Independent	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims 4 -3** = 1 X 86 = 86	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent X = 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee Fee Code (\$) Code (\$) Fee Description	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 20	1810	770	2810	385	For each additional invention to be	
1201 86 2201 43 Independent claims in excess of 3	ı				examined (37 CFR § 1.129(b))	
1203 290 2203 145 Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86 2204 43 ** Reissue independent claims over original patent	1802	900	. 1802	900	Request for expedited examination	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	0:-	of a design application				
SUBTOTAL (2) (\$) 86	Other	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Redu	iced by B	asic Filin	g Fee P	aid SUBTOTAL (3) (\$) 130	0
or number previously paid, it greater, FOI fieldssues, see above	┺——					

SUBMITTED BY		Co	mplete (if applicable)
Name (Print/Type)	G. Gregory Schiving Registration No. (Attorney/Agent) 27,382	Telephone	248-641-1600
Signature	1. /hign / flewler	Date	August 23, 2004

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